

Asymptotic Symmetries in Gravity: the Hamiltonian Approach

Marc Henneaux

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Asymptotic symmetries play a central role in gauge theories.

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In particular, a meaningful definition of conserved charges (energy, angular momentum, ... in general relativity) relies on the existence of asymptotic symmetries.

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In particular, a meaningful definition of conserved charges (energy, angular momentum, ... in general relativity) relies on the existence of asymptotic symmetries.

But there is much more than the conservation of standard charges!

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But there is much more than the conservation of standard charges!

One approach to the study of asymptotic symmetries is based on the Hamiltonian formulation, where the evolution of the system is studied on spacelike hypersurfaces.

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But there is much more than the conservation of standard charges!

One approach to the study of asymptotic symmetries is based on the Hamiltonian formulation, where the evolution of the system is studied on spacelike hypersurfaces.

We shall review the Hamiltonian formulation here, in the specific case of gravity.

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Two central ideas will be illustrated in the talk :

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Two central ideas will be illustrated in the talk :

- The asymptotic symmetry group can be (much) bigger than the symmetry group of some background maximally symmetric “ground state” solution.

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In other words, asymptotic symmetries cannot always be viewed as exact symmetries of some background.

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- The canonical realization of asymptotic symmetries may involve central extensions.

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Well established facts such as

- (Non-trivial) asymptotic symmetries do change the physical state of the system ;

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Well established facts such as

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- Charges associated with asymptotic symmetries are given by surface integrals ;

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Well established facts such as

- (Non-trivial) asymptotic symmetries do change the physical state of the system ;
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will also be reviewed.

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The deformation is decomposed into its normal and tangent components, $\xi = \xi^\perp n + \xi^k \frac{\partial}{\partial x^k}$

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The deformation is decomposed into its normal and tangent components, $\xi = \xi^\perp n + \xi^k \frac{\partial}{\partial x^k}$

where n is normal to the spacelike hypersurfaces and $\frac{\partial}{\partial x^k}$ form a basis of tangent vectors.

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Under an arbitrary surface deformation $\xi = \xi^\perp n + \xi^k \frac{\partial}{\partial x^k}$ of Σ , the functional F changes as (Dirac, ADM)

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$$\delta_\xi F = [F, H[\xi]]$$

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with “bulk term” = $\int_\Sigma d^d x (\xi^\perp \mathcal{H} + \xi^k \mathcal{H}_k)$

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$$\delta_\xi F = [F, H[\xi]]$$

where $H[\xi] =$ “bulk term” + “surface term” (Regge-Teitelboim 1974)

with “bulk term” $= \int_\Sigma d^d x (\xi^\perp \mathcal{H} + \xi^k \mathcal{H}_k)$

and “surface term” $\equiv Q[\xi] = \oint_{\partial\Sigma} (\text{local expression})$.

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The bulk term vanishes on account of the constraints

$$\mathcal{H} \approx 0, \quad \mathcal{H}_k \approx 0.$$

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For instance, for standard general relativity :

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$$\begin{aligned} \mathcal{H} &= g^{-\frac{1}{2}} \left(\pi_{ij} \pi^{ij} - \frac{1}{d-1} \pi^2 \right) - g^{\frac{1}{2}} R + 2\Lambda g^{\frac{1}{2}} \\ &\equiv G_{ijmn} \pi^{ij} \pi^{mn} - g^{\frac{1}{2}} R + 2\Lambda g^{\frac{1}{2}} \end{aligned}$$

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$$\mathcal{H}_i = -2\pi_i^j |_{|j}$$

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There are matter contributions to \mathcal{H} and \mathcal{H}_k in the presence of sources.

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To complete the definition of phase space and discuss the surface term $Q[\xi]$, one needs to impose boundary conditions at the boundaries.

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To complete the definition of phase space and discuss the surface term $Q[\xi]$, one needs to impose boundary conditions at the boundaries.

“The field equations and the boundary conditions are inextricably connected and the latter can in no way be considered less important than the former.” (V. Fock, 1955).

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We shall explicitly consider the case of open spatial sections and the boundary conditions at (spatial) infinity, but the analysis proceeds along the same ideas if there are other boundaries.

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We shall explicitly consider the case of open spatial sections and the boundary conditions at (spatial) infinity, but the analysis proceeds along the same ideas if there are other boundaries.

If there is no boundary, there is no surface term to be added to the Hamiltonian and the charges are zero.

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The boundary conditions depend on the theory.

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The boundary conditions depend on the theory.

They should fulfill the following consistency requirements :

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The boundary conditions depend on the theory.

They should fulfill the following consistency requirements :

- They should contain the physically relevant solutions.

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The boundary conditions depend on the theory.

They should fulfill the following consistency requirements :

- They should contain the physically relevant solutions.
- They should make the surface integrals finite and integrable (i.e., the surface deformations that preserve the boundary conditions should have finite surface integrals).

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- They should be invariant under “physically relevant” transformations.

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Finding consistent boundary conditions is a bit of an art.

Different, inequivalent boundary conditions might be consistent.

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Finding consistent boundary conditions is a bit of an art.
Different, inequivalent boundary conditions might be consistent.

We examine first the question of the surface terms.

Surface terms

How should one determine the surface term $Q[\xi]$ to be added to the volume term ?

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Requirement : $H[\xi]$ should have well-defined functional derivatives in the class of fields under consideration ("be differentiable") - Regge and Teitelboim 1974

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i.e., since $\delta H[\xi] = \text{“Volume term”} + M[\xi] + \delta Q[\xi]$

where the volume term contains only undifferentiated variations

$$\int d^d x \left(A^{ij}(x) \delta g_{ij}(x) + B_{ij}(x) \delta \pi^{ij}(x) + \text{contributions from other fields} \right)$$

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$$\int d^d x \left(A^{ij}(x) \delta g_{ij}(x) + B_{ij}(x) \delta \pi^{ij}(x) + \text{contributions from other fields} \right)$$

and where $M[\xi]$ is the surface term arising from integrations by parts to bring the volume term in desired form,

Surface terms

How should one determine the surface term $Q[\xi]$ to be added to the volume term ?

Requirement : $H[\xi]$ should have well-defined functional derivatives in the class of fields under consideration (“be differentiable”) - Regge and Teitelboim 1974

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and where $M[\xi]$ is the surface term arising from integrations by parts to bring the volume term in desired form,

one must impose

$$M[\xi] + \delta Q[\xi] = 0.$$

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The condition $M[\xi] + \delta Q[\xi] = 0$ implies that the variation $\delta H[\xi]$ reduces to the volume term, so that the Hamiltonian action principle (written here for pure gravity)

$$\delta \left(\int dt d^d x \pi^{ij} \dot{g}_{ij} - \int dt H[\xi] \right) = 0$$

yields under these conditions the correct equations of motion.

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Analysis of the condition $M[\xi] + \delta Q[\xi] = 0$

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Which boundary conditions to impose depends on the context.

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The asymptotic symmetries are by definition the surface deformations $\xi = (\xi^\perp, \xi^k)$ that preserve the boundary conditions, modulo the “trivial” ones to be defined below.

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The generator of an asymptotic symmetry reads

$$H[\xi] = \int d^d x \left(\xi^\perp \mathcal{H} + \xi^k \mathcal{H}_k \right) + Q[\xi]$$

and reduces on shell to the surface term.

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Two asymptotic symmetries that have the same asymptotic behaviour have the same charge and should be identified.

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The asymptotic symmetry algebra is the quotient algebra of the asymptotic symmetries by the “trivial” asymptotic symmetries with zero charge.

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The quotient is well defined because the trivial asymptotic symmetries form an ideal,

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when $\eta \rightarrow 0$ at ∞ ($Q[\xi]$ is “gauge-invariant”).

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The asymptotic symmetries with zero charges are the true gauge symmetries.

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The asymptotic symmetries with zero charges are the true gauge symmetries.

The other asymptotic symmetries DO change the physical state of the system.

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The other asymptotic symmetries DO change the physical state of the system.

Note that the asymptotic symmetries may not always be realized as background isometries.

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If ξ and η are asymptotic symmetries, then their commutator $[\xi, \eta]$ also is. Furthermore, one has the following useful theorem (Brown-Henneaux JMP 1986)

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- $[H[\xi], H[\eta]]$ and $H[[\xi, \eta]]$ generate the same asymptotic transformation
- i.e., $[F, [H[\xi], H[\eta]]] = [F, H[[\xi, \eta]]]$ for any functional F of the dynamical variables.

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- i.e., $[F, [H[\xi], H[\eta]]] = [F, H[[\xi, \eta]]]$ for any functional F of the dynamical variables.

Hence $[H[\xi], H[\eta]]$ and $H[[\xi, \eta]]$ differ, up to trivial terms, by a c -number $K[\xi, \eta]$ (which fulfills cocycle condition etc...).

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Define $H_A = H[\xi_A]$ where ξ_A is a basis of the asymptotic symmetry algebra (H_A defined up to trivial terms).

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Define $H_A = H[\xi_A]$ where ξ_A is a basis of the asymptotic symmetry algebra (H_A defined up to trivial terms).

Then $[H_A, H_B] = f_{AB}^C H_C + K_{AB}$ (up to trivial terms)

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When can K_{AB} be different from zero ?

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- The cohomology group $H^2(\mathcal{G})$ must be different from zero.
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Indeed, one can prove that if the asymptotic symmetry group can be realized as isometry group of some background, then $K_{AB} = 0$.

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Indeed, one can prove that if the asymptotic symmetry group can be realized as isometry group of some background, then $K_{AB} = 0$.

Theories exist, however, with $K_{AB} \neq 0$.

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Want to describe isolated systems in an AdS background

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Asymptotically anti-de Sitter spaces - Background metric

Want to describe isolated systems in an AdS background

The background metric is (the universal cover of) AdS,

$$ds^2 = - \left(1 + \left(\frac{r}{l} \right) \right) dt^2 + \left(1 + \left(\frac{r}{l} \right) \right)^{-1} dr^2 + r^2 d\omega^2,$$

$$D=3: d\omega^2 = d\phi^2, \quad D=4: d\omega^2 = d\theta^2 + \sin^2\theta d\phi^2, \quad \text{etc}$$

with $D = d + 1$.

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AdS isometries are conformal transformations of the boundary at infinity - and all of them for $D \geq 4$.

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AdS isometries are conformal transformations of the boundary at infinity - and all of them for $D \geq 4$.

For $D = 3$, the boundary is the cylinder $\mathbb{R} \times S^1$ with metric $-dt^2 + d\phi^2$ and the conformal group at infinity is infinite-dimensional and contains $O(2, 2)$, which is a proper finite-dimensional subgroup.

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Boundary conditions defining asymptotically anti-de Sitter spaces were devised for $D \geq 4$ in M.H.+ C. Teitelboim (1985) for pure gravity or gravity coupled to “fastly decaying” matter fields.

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One finds that the asymptotic symmetry group is in all cases the conformal group at infinity.

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The situation is thus the following :

	Background isometries	Conformal group at infinity
$D \geq 4$	$O(D-1, 2)$	$O(D-1, 2)$
$D = 3$	$O(2, 2)$	$\mathcal{C}^{(2)} \supset O(2, 2)$

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The AdS group $O(D-1, 2)$ is the asymptotic symmetry group in $D \geq 4$ dimensions. But it is the infinite-dimensional conformal group $\mathcal{C}^{(2)}$ that is the asymptotic symmetry group in $D = 3$. In that latter case, central charges are allowed and do, in fact, occur.

$D = 3$ - Boundary conditions

We concentrate on the case $D = 3$.

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$D = 3$ - Boundary conditions

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In the coordinate system where the background metric reads

$$\left(1 + \frac{r^2}{l^2}\right)^{-1} dr^2 - \frac{l^2}{4} \left((dx^+)^2 + (dx^-)^2 \right) - \left(\frac{l^2}{2} + r^2 \right) dx^+ dx^-,$$

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the boundary conditions of Brown + MH (1986) are invariant under diffeomorphisms η^α of the form

$$\eta^+ = T^+ + \frac{\ell^2}{2r^2} \partial_-^2 T^- + \dots,$$

$$\eta^- = T^- + \frac{\ell^2}{2r^2} \partial_+^2 T^+ + \dots,$$

$$\eta^r = -\frac{r}{2} (\partial_+ T^+ + \partial_- T^-) + \dots,$$

where $T^\pm = T^\pm(x^\pm)$.

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where $T^\pm = T^\pm(x^\pm)$.

This is the full conformal group in 2 dimensions, generated by $T^+(x^+)$ and $T^-(x^-)$.

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The corresponding Virasoro charges are given by

$$Q_{\pm}[T^{\pm}] = \frac{2}{l} \int d\phi T^{\pm} f_{\pm\pm} .$$

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Using the transformation rules of the f 's, one finds that their brackets yield two copies of the Virasoro algebra with central charge

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In terms of Fourier modes,

$$[L_n, L_m] = -i \left((n-m)L_{n+m} + \frac{c}{12} n(n^2-1)\delta_{n+m,0} \right),$$

$$[\tilde{L}_n, \tilde{L}_m] = -i \left((n-m)\tilde{L}_{n+m} + \frac{c}{12} n(n^2-1)\delta_{n+m,0} \right).$$

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Asymptotically flat spaces

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Asymptotically flat spaces have been much studied at null infinity.

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In the 1960's, Bondi, Metzner and Sachs (BMS) found that reasonable asymptotic conditions defining asymptotically flat spaces at null infinity

were invariant under an infinite-dimensional group containing the Poincaré group.

This infinite-dimensional symmetry was somewhat viewed then by many authors (but not all!) as an embarrassment. Various attempts were made to reduce the asymptotic symmetry group to the Poincaré group by strengthening the boundary conditions.

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However, the BMS group was taken seriously and analysed in depth more recently by G. Barnich and his collaborators,

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Most of these studies were performed at null infinity.

It is desirable to have also an analysis on spacelike hypersurfaces as this should make the correspondence with the quantum theory more transparent.

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The natural boundary conditions to be adopted on the phase space variables are, with $g_{ij} = g_{ij}^{FLAT} + h_{ij}$

$$h_{ij} = \frac{h_{ij}^{(0)}(\mathbf{n})}{r} + o(r^{-1}), \quad \pi^{ij} = \frac{\pi_{(0)}^{ij}(\mathbf{n})}{r^2} + o(r^{-2})$$

(in cartesian coordinates).

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These boundary conditions cover the known solutions - at rest or boosted -, including, formally, the Taub-NUT solution.

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They suffer from difficulties, however, and will have to be strengthened.

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These boundary conditions cover the known solutions - at rest or boosted -, including, formally, the Taub-NUT solution.

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For instance, they make the angular momentum generically diverge (see below).

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The surface-deformations that preserve the boundary conditions read

$$\xi^\perp(\mathbf{x}) = \xi_P^\perp(\mathbf{x}) + \eta^\perp(\mathbf{x}),$$

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$$\begin{aligned}\xi_P^\perp(\mathbf{x}) &= b^\perp{}_i x^i + a^\perp, \\ \xi_P^i(\mathbf{x}) &= b^i{}_j x^j + a^i, \quad b_{ij} = -b_{ji}\end{aligned}$$

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and where $\eta^\perp(\mathbf{x})$ and $\eta^i(\mathbf{x})$ are of $O(1)$, i.e., depend only on the angles ("angle-dependent" translations). Of course, next (unwritten) terms are $o(1)$ etc.

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($O(\log r)$ terms are in fact permitted but will be not considered here.)

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Do these asymptotic surface deformations fulfill the requirement of yielding finite charges?

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The parity conditions are fulfilled by all known solutions.

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Intermediate strengthening of the boundary conditions that is weaker than the parity conditions

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Intermediate strengthening of the boundary conditions that is weaker than the parity conditions

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This yields an infinite number of conserved charges, in agreement with the analysis performed at null infinity.

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It can be infinite-dimensional, leading to an infinite number of conserved charges, given by surface integrals.

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Furthermore, asymptotic symmetries may allow for a central extension (even a “field-dependent” one).

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Also not discussed here : inclusion of higher spin gauge fields with their higher spin gauge symmetries - analysis can be performed following the same ideas.

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THANK YOU!