

Caustic free completion of pressureless perfect fluid and k-essence

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Modifications of gravity are vulnerable for pathologies

- Ghost instabilities.

Typical for higher derivative field theories.

Ostrogradski'1850

Exceptions: Horndeski'74

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Exceptions: Horndeski'74

- Gradient or tachyon instabilities.

- Caustic singularities.

E. g. Pressureless perfect fluid, k-essence, ghost condensate.

Pressureless perfect fluid

$$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = 0 \quad \text{Euler equation}$$

$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0 \quad \text{Continuity equation}$$

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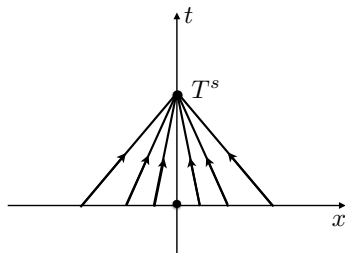
Consider a simple 1D example

$$v(t=0) = -\frac{x}{T^s} \implies v = -\frac{x}{T^s - t}$$

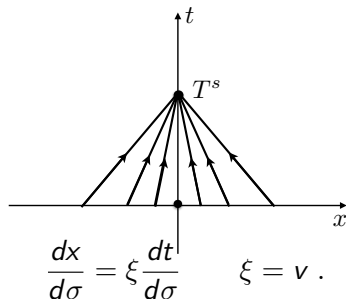
NB: Realistically $v = -\frac{x}{T^s - t} + \mathcal{O}\left(\frac{x^2}{[T^s - t]^2}\right)$

$$\text{still } \boxed{\frac{\partial v}{\partial x} = -\frac{1}{T^s - t} \quad (x=0) \rightarrow \infty}$$

Caustic formation: characteristics cross

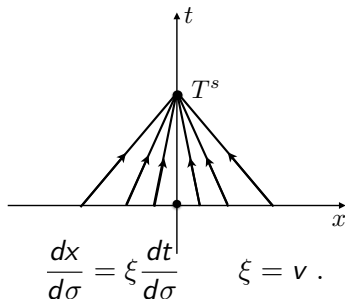


Caustic formation: characteristics cross



No caustic singularities provided that $v = \text{const}$

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Natural regularization in particle models.

Pressureless perfect fluid is more generic.

Horava'09 Mukohyama'09 Chamseddine and Mukhanov'13 Lim et al'10

$$S = \int d^4x \sqrt{-g} \mathcal{L}(X, \varphi) \quad X = \frac{1}{2} g^{\mu\nu} \partial_\mu \varphi \partial_\nu \varphi$$

Armendariz-Picon et al '99 '00

- Originally designed to explain accelerated expansion of the Universe.
- An ingredient in Horndeski models.

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Super-/sub-luminality [Garriga and Mukhanov'99](#),

$$c_s^2 = \frac{1}{1 + 2X \frac{\mathcal{L}_{XX}}{\mathcal{L}_X}}$$

Superluminality is not a threat to causality [Babichev et al'07](#).

May cause problems at quantum level [Adams et al'06](#).

Shift-symmetric Lagrangians in 1+1 space-time $S = \int d^2x \mathcal{L}(X)$

$$(\mathcal{L}_X \eta^{\mu\nu} + \mathcal{L}_{XX} \partial^\mu \varphi \partial^\nu \varphi) \partial_\mu \partial_\nu \varphi = 0 .$$

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Define variables $\tau = \dot{\varphi} \equiv \frac{d\varphi}{dt}$ and $\chi = \varphi' \equiv \frac{d\varphi}{dx}$

$$A\dot{\tau} + 2B\tau' + C\chi' = 0 \quad A, B, C = F(\tau, \chi) .$$

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Consider an arbitrary curve in (x,t) plane defined by parameter σ .

$$\frac{A}{t_\sigma} \tau_\sigma + \frac{C}{x_\sigma} \chi_\sigma - \frac{\tau'}{\xi} (A\xi^2 - 2B\xi + C) = 0 \quad \xi \equiv \frac{x_\sigma}{t_\sigma}.$$

Characteristics: $A\xi^2 - 2B\xi + C = 0$

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$$\frac{dx}{d\sigma_{\pm}} = \xi_{\pm}(\tau, \chi) \frac{dt}{d\sigma_{\pm}} \quad \frac{d\tau}{d\sigma_{\pm}} + \xi_{\mp} \frac{d\chi}{d\sigma_{\pm}} = 0.$$

$$\xi_{\pm} = \frac{v \pm c_s}{1 \pm v \cdot c_s} \quad v = -\frac{\chi}{\tau}$$

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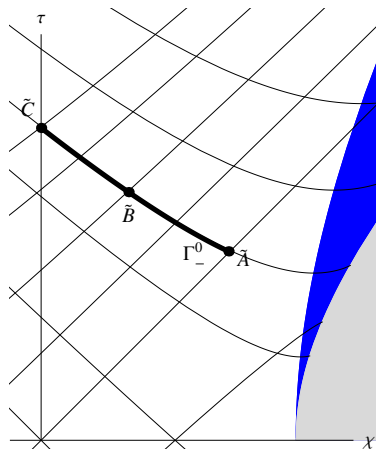
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NB Pressureless perfect fluid: $c_s = 0 \implies \xi_{\pm} = v$

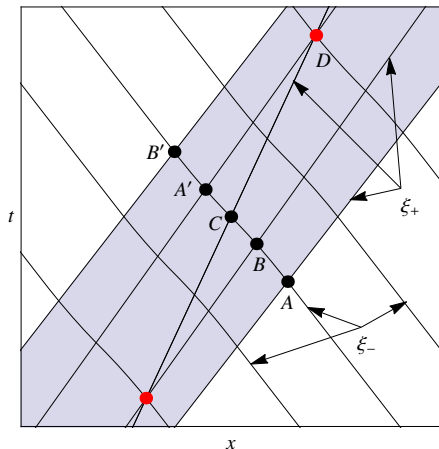
NNB No caustic singularities provided that $c_s = 1 \implies \xi_{\pm} = \text{const}$

- $\mathcal{L} = X \implies c_s = 1 \implies \xi_{\pm} = \pm 1$
- Traveling wave $\varphi = \varphi(t \pm x)$
 $\tau = \pm\chi \implies \xi_{\pm} = \pm 1$, or $X = \frac{1}{2}(\tau^2 - \chi^2) = 0$

Generically, ξ_{\pm} are not constant and depend on values of τ and χ .



Singularity is not cured upon including Galileon terms.



k-essence as the model of two scalar fields

$$S = \int d^4x \left[\frac{\lambda^2}{2} (\partial_\mu \varphi)^2 - V(\lambda) \right] .$$

Babichev'16

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$$\frac{V'(\lambda)}{\lambda} = (\partial_\mu \varphi)^2 \equiv X .$$

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Generic $V(\lambda) \implies \lambda$ is an auxiliary field.

$$\frac{V'(\lambda)}{\lambda} = (\partial_\mu \varphi)^2 \equiv X .$$

$$V(\lambda) \propto -\lambda^2 + \lambda^4 \implies \mathcal{L}(X) \propto X + X^2 \quad \text{sub-luminal}$$

$$V(\lambda) \propto \lambda^2 - \lambda^4 \implies \mathcal{L}(X) \propto X - X^2 \quad \text{super-luminal}$$

More than just k-essence!

$$V(\lambda) = \frac{\lambda^2}{2} \implies \lambda \text{ is a Lagrange multiplier}$$

$$S = \int d^4x \frac{\lambda^2}{2} [(\partial_\mu \varphi)^2 - 1] \implies T_{\mu\nu} = \lambda^2 \partial_\mu \varphi \partial_\nu \varphi .$$

Pressureless perfect fluid!

$$V(\lambda) = \frac{\lambda^2}{2} + \frac{\lambda^4}{4} \implies \mathcal{L}(X) = (X - 1)^2$$

Ghost condensate develops caustic singularities Arkani-Hamed et al'05.

Idea: promote λ to a dynamical degree of freedom.

$$S = \int d^4x \left[\frac{(\partial_\mu \lambda)^2}{2M^2} + \frac{\lambda^2}{2} (\partial_\mu \varphi)^2 - V(\lambda) \right] \quad M \text{ is large!!! .}$$

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Field transformation

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Field transformation

$$\tilde{\lambda} = \frac{\lambda}{M} \quad \tilde{\varphi} = M\varphi$$

$$\Psi = \tilde{\lambda} e^{i\tilde{\varphi}}$$

$$S = \int d^4x \left[\frac{1}{2} \partial_\mu \Psi^* \partial^\mu \Psi - V(|\Psi|) \right] .$$

The model is manifestly caustic free and exhibits luminal propagation.

Consider the simple renormalizable potential,

$$V(\Psi) = \frac{\alpha \cdot M^2 \cdot |\Psi|^2}{2} + \frac{\beta \cdot M^4 \cdot |\Psi|^4}{4 \cdot \Lambda^4}$$

- $\alpha > 0, \beta = 0 \implies$ pressureless perfect fluid.

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Bilic'08

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- $\alpha > 0$ and $\beta > 0 \implies$ ghost condensate $\mathcal{L}(X) \propto (X - 1)^2$

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Arkani-Hamed et al'03 '05

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Strategy: pick the simplest possible case $V(\Psi) = \frac{M^2|\Psi|^2}{2}$
and derive main conclusions out of it.

Degree of freedom counting: 2 vs.1

General solution

$$\Psi = \int d\mathbf{k} \alpha(\mathbf{k}) e^{i\mathbf{k}\mathbf{x} + i\sqrt{k^2 + M^2}t} + \int d\mathbf{k} \beta(\mathbf{k}) e^{-i\mathbf{k}\mathbf{x} - i\sqrt{k^2 + M^2}t}$$

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Only the branch with fixed frequency reproduces pressureless perfect fluid,

$$\boxed{\beta(\mathbf{k}) = 0} \implies \Psi = \int d\mathbf{k} \alpha(\mathbf{k}) e^{i\mathbf{k}\mathbf{x} + i\sqrt{k^2 + M^2}t}$$

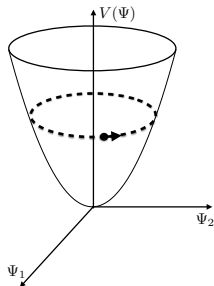
Provided that $\boxed{L^{-1} \ll M \cdot v}$

$$\frac{\partial \delta \tilde{\varphi}}{\partial t} = \sqrt{M^2 + (\partial_i \tilde{\varphi})^2} \implies \partial_\mu \varphi \partial^\mu \varphi = 1 \implies$$

$$\frac{du^\mu}{ds} + \Gamma_{\alpha\beta}^\mu u^\alpha u^\beta = 0 \quad \partial_\mu \varphi = u_\mu$$

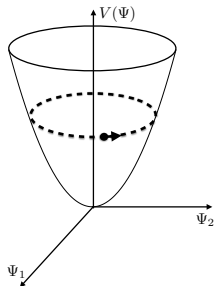
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$$\Psi = Ae^{iMt} + Be^{-iMt} \rightarrow B = 0 \rightarrow \Psi = Ae^{iMt}$$

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Cosmic drag: $\Psi = \frac{A}{a^{3/2}} e^{iMt} \implies \mathcal{P} = 0, \rho \propto \frac{1}{a^3}$

$$\Psi = Ae^{iMt} \implies \Psi = \int d\mathbf{k} \alpha(\mathbf{k}) e^{i\mathbf{k}\mathbf{x} + i\sqrt{k^2 + M^2}t}$$

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Consider non-relativistic limit $k^2 \ll M^2$

$$\Psi = \tilde{\Psi} e^{iMt} \quad \text{Schroedinger equation:} \quad i \frac{\partial \tilde{\Psi}}{\partial t} = -\frac{1}{2M} \Delta \tilde{\Psi}$$

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$$\text{Madelung transformation:} \quad \tilde{\Psi} = \sqrt{\rho} \cdot e^{i\tilde{\varphi} - iMt} .$$

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$$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0 \quad \mathbf{v} = -\frac{\nabla \tilde{\varphi}}{M}$$

$$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = -\frac{1}{2M^2} \nabla \frac{\Delta \sqrt{\rho}}{\sqrt{\rho}} \quad \text{"Quantum pressure"}$$

Using Schroedinger equation in cosmology

- Trick to avoid cumbersome N-body simulations,

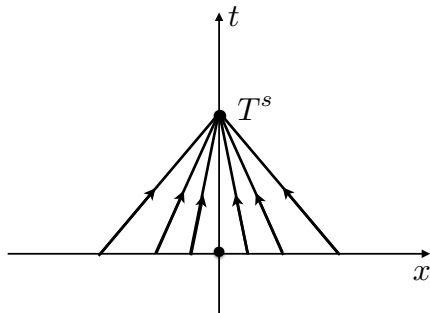
Widrow and Kaiser'91 C. Uhlemann et al'14

Using Schroedinger equation in cosmology

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Widrow and Kaiser'91 C. Uhlemann et al'14

- Physically relevant situation: super-light axion in the Bose-Einstein state, $m \sim 10^{-22}$ eV.
Sin'94 Ji and Sin'94 Lee and Koh'96 Hu et al'00

Perfect caustics



Resolving caustic singularity

$$\rho(t=0) = A^2 \exp\left(-\frac{x^2}{L^2}\right) \quad v(t=0) = -\beta x \quad \text{Hui et al'16}$$

$$\implies v = -\frac{x}{T^s - t} \quad T^s = \frac{1}{\beta}$$

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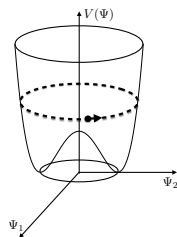
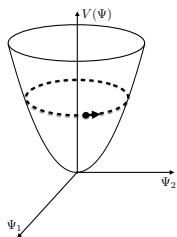
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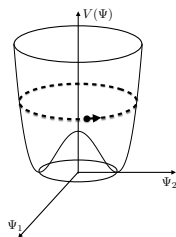
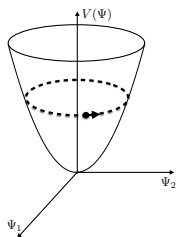
$$\tilde{\Psi} = \frac{A}{\sqrt{1 - \frac{t}{T}}} \cdot \exp\left[-\frac{iMx^2}{2(t - T)}\right]$$

$$\boxed{T^s \rightarrow T = T_1 - iT_2} \quad \frac{T_2}{T_1} \propto \frac{1}{M}$$

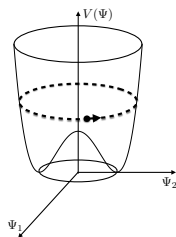
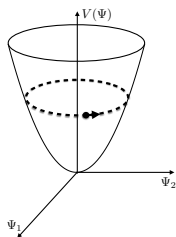
Collapse time is promoted to **complex** number \implies **singularity is not developed in the real time.**

$$v = -\frac{x}{T^s - t} \rightarrow v = -\frac{x(T_1 - t)}{(T_1 - t)^2 + T_2^2}$$





$$\Psi = \frac{A}{a^{3/2}} e^{iMt} \rightarrow \Psi = \frac{A}{a^{3/2} \sqrt{2\omega}} e^{\pm i \int dt \omega} \quad \omega = \sqrt{\frac{2\partial V}{\partial |\Psi|^2}}$$



$$\psi = \frac{A}{a^{3/2}} e^{iMt} \rightarrow \psi = \frac{A}{a^{3/2} \sqrt{2\omega}} e^{\pm i \int dt \omega} \quad \omega = \sqrt{\frac{2\partial V}{\partial |\psi|^2}}$$

$$2\sqrt{\frac{2\partial V}{\partial |\psi|^2}} |\psi|^2 = \frac{A^2}{a^3} \quad V(\psi) \propto |\psi|^4 \implies \mathcal{P} = \frac{1}{3}\rho \quad (\text{cf. } \mathcal{L} \propto X^2)$$

Conclusions

- k-essence, pressureless perfect fluid and ghost condensate belong to the same class of models with two scalar fields.
- Simple caustic free completion by means of the complex scalar designed.
- Only specific initial conditions allow to reproduce pressureless perfect fluid or k-essence.
- Mechanism of resolving caustic singularities: the collapse time is promoted to the complex number in the complete picture.

Thanks for your attention!